

# Dynamic Burrows-Wheeler Transform

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## Recent Advances in High-Throughput Sequencing

Index large dynamic texts (genomes) to speed up approximate pattern matching (myriads of short patterns, at most one error).

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### Two possible efficient solutions

	FM-Index BWT + Suffix Array Ferragina-Manzini FOCS'00	LZ-Index LZ-factorisation Navarro SPIRE'04
Advantages	Compressed indexes Approximate string matching	
DNA, 50 Mb	19 to 34 MB	44 MB

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## Our main motivation: index large dynamic texts

Single modification in the text → Creation of a new index from scratch?

## FM-Index: more efficient and probably (?) easier to update

Burrows-Wheeler Transform

Samples of the suffix array

BWT is used to quickly:

- retrieve the original text;
- search for patterns.

We need the whole structure;

SA is used to quickly:

- find the position of each occurrence of a pattern.

We can sample the SA and recover the missing values.

Burrows-Wheeler Transform of  $T = \text{CTCTGC\$}$

## The big picture

The Burrows-Wheeler Transform is a permutation of a text  $T$  that eases the compression of  $T$ .

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unsorted cyclic shifts

	unsorted $T^{[i]}$						
0	C	T	C	T	G	C	\$
1	T	C	T	G	C	\$	C
2	C	T	G	C	\$	C	T
3	T	G	C	\$	C	T	C
4	G	C	\$	C	T	C	T
5	C	\$	C	T	C	T	G
6	\$	C	T	C	T	G	C

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3	T	G	C	\$	C	T	C
4	G	C	\$	C	T	C	T
5	C	\$	C	T	C	T	G
6	\$	C	T	C	T	G	C

sorted cyclic shifts, BWT							
$F$		sorted $T^{[i]}$				$L$	
	$\downarrow$					$\downarrow$	
0	\$	C	T	C	T	G	C
1	C	\$	C	T	C	T	G
2	C	T	C	T	G	C	\$
3	C	T	G	C	\$	C	T
4	G	C	\$	C	T	C	T
5	T	C	T	G	C	\$	C
6	T	G	C	\$	C	T	C

$\downarrow$                                      $\downarrow$   
sorted                                    unsorted

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4	G	C	\$	C	T	C	T
5	C	\$	C	T	C	T	G
6	\$	C	T	C	T	G	C

sorted cyclic shifts, BWT and suffix array

$F$	$\downarrow$	sorted $T^{[i]}$	$\downarrow$	$L$	$\downarrow$	$SA$	$\downarrow$
0	\$	C	T	C	T	G	C
1	C	\$	C	T	C	T	G
2	C	T	C	T	G	C	\$
3	C	T	G	C	\$	C	T
4	G	C	\$	C	T	C	T
5	T	C	T	G	C	\$	C
6	T	G	C	\$	C	T	C

↓                                   ↓

sorted                              unsorted

Burrows-Wheeler Transform of  $T = \text{CTCTGC\$}$

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The Burrows-Wheeler Transform is a permutation of a text  $T$  that eases the compression of  $T$ .

unsorted cyclic shifts

	unsorted $T^{[i]}$						
	C	T	C	T	G	C	\$
0	C	T	C	T	G	C	\$
1	T	C	T	G	C	\$	C
2	C	T	G	C	\$	C	T
3	T	G	C	\$	C	T	C
4	G	C	\$	C	T	C	T
5	C	\$	C	T	C	T	G
6	\$	C	T	C	T	G	C

sorted cyclic shifts, BWT and suffix array

$F$	$L$	$SA$	$ISA$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
	sorted $T^{[i]}$		
0	\$ C T C T G C		6
1	C \$ C T C T G		5
2	C T C T G C \$		0
3	C T G C \$ C T		2
4	G C \$ C T C T		4
5	T C T G C \$ C		1
6	T G C \$ C T C		3
	$\downarrow$	$\downarrow$	$\downarrow$
	sorted	unsorted	

# Which column do we have to consider?

**First column  $F = \$ C C C G T T$**

- gathers identical symbols;
- easy to compress  $\$r_3 CGr_2 T$ ;
- recovering  $T$  from  $F$  is impossible.

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**First column  $F = \$ C C C G T T$**

- gathers identical symbols;
- easy to compress  $\$r_3 CGr_2 T$ ;
- recovering  $T$  from  $F$  is impossible.

**Last column  $L = C G \$ T T C C$**

- has a tendency to create packets of identical symbols;
- eases compression;
- is recovering  $T$  from  $L$  possible?

## FM-index: a dynamic data structure?

$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ CTCTGC\$ \end{smallmatrix} \quad \rightarrow \quad T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ CT\textcolor{red}{G}CTGC\$ \end{smallmatrix}$$

BWT

Easy (Laurent!)

$$BWT(CTCTGC\$) = CG\$TTCC$$

$$BWT(CT\textcolor{red}{G}CTGC\$) = CG\textcolor{red}{G}\$TTCC$$

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**Suffix Array****Hard (Mikaël!)**

$$SA(CTCTGC\$) = 6502413$$

$$SA(CT\textcolor{red}{G}CTGC\$) = 76305241$$

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## BWT update looks easier than SA update

- BWT and SA are strongly related;
- BWT update will help for SA update.

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BWT

Easy (Hum, let me think about it!)

$$BWT(CTCTGC\$) = C \ G \ \$ \ T \ T \ C \ C$$

$$BWT(CT\textcolor{red}{G}CTGC\$) = C \ G \ \textcolor{red}{G} \ \$ \ T \ T \ C \ C$$

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## Conceptual matrices

	<i>F</i>	<i>L</i>		<i>F</i>	<i>L</i>
0	\$ C T C T G C		0	\$ C T <b>G</b> C T G C	
1	C \$ C T C T G		1	C \$ C T <b>G</b> C T G	
2	C T C T G C \$		2	C T G C \$ C T <b>G</b>	←
3	C T G C \$ C T		3	C T <b>G</b> C T G C \$	
4	G C \$ C T C T		4	G C \$ C T <b>G</b> C T	
5	T C T G C \$ C		5	<b>G</b> C T G C \$ C T	
6	T G C \$ C T C		6	T G C \$ C T <b>G</b> C	
			7	T <b>G</b> C T G C \$ C	

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$L$
0	C
1	G
2	\$
3	T
4	T
5	C
6	C

## Right-to-left reconstruction of $T$ from $L$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	T
4	G	T
5	T	C
6	T	C

Deduce sorted  $F$  from unsorted  $L$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
4	G	T	
5	T	C	
6	T	C	

Right-to-left suffixes of  $T$ 

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
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5	T	C	
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Right-to-left suffixes of  $T$ 

\$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
4	G	T	
5	T	C	
6	T	C	

Right-to-left suffixes of  $T$ 

\$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
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0      Right-to-left suffixes of  $T$

\$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
4	G	T	
5	T	C	
6	T	C	

0      Right-to-left suffixes of  $T$

\$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
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5	T	C	
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0      Right-to-left suffixes of  $T$

(C)\$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
4	G	T	
5	T	C	
6	T	C	

0      Right-to-left suffixes of  $T$   
C \$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	
1	C	G	
2	C	\$	
3	C	T	
4	G	T	
5	T	C	
6	T	C	

0      Right-to-left suffixes of  $T$   
C \$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	1
1	C	G	
2	C	\$	0
3	C	T	
4	G	T	
5	T	C	
6	T	C	

Right-to-left suffixes of  $T$   
C \$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	1
1	C	G	
2	C	\$	0
3	C	T	
4	G	T	
5	T	C	
6	T	C	

Right-to-left suffixes of  $T$ 

(G)C \$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$
0	\$	C	1
1	C	G	
2	C	\$	0
3	C	T	
4	G	T	
5	T	C	
6	T	C	

Reconstructed text  $T$   
G C \$

Right-to-left reconstruction of  $T$  from  $L$ 

$i$	$F$	$L$	$LF$	
0	\$	C	1	
1	C	G	4	
2	C	\$	0	Reconstructed text $T$
3	C	T	5	C T C T G C \$
4	G	T	6	
5	T	C	2	
6	T	C	3	

A visual representation of  $LF$  for  $T = \text{CTCTGC\$}$

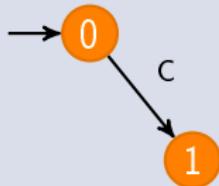
### Considering $LF$ as an automaton

$i$	$F$	$L$	$LF$
0	\$	C	1
1	C	G	4
2	C	\$	0
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$i$	$F$	$L$	$LF$
-----	-----	-----	------

0	\$	C	1
---	----	---	---

1	C	G	4
---	---	---	---

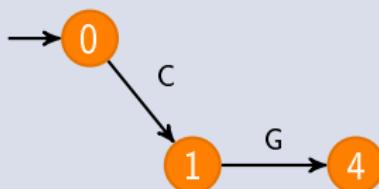
2	C	\$	0
---	---	----	---

3	C	T	5
---	---	---	---

4	G	T	6
---	---	---	---

5	T	C	2
---	---	---	---

6	T	C	3
---	---	---	---



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$i$	$F$	$L$	$LF$
-----	-----	-----	------

0	\$	C	1
---	----	---	---

1	C	G	4
---	---	---	---

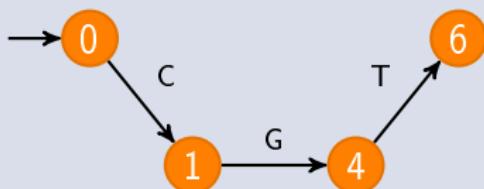
2	C	\$	0
---	---	----	---

3	C	T	5
---	---	---	---

4	G	T	6
---	---	---	---

5	T	C	2
---	---	---	---

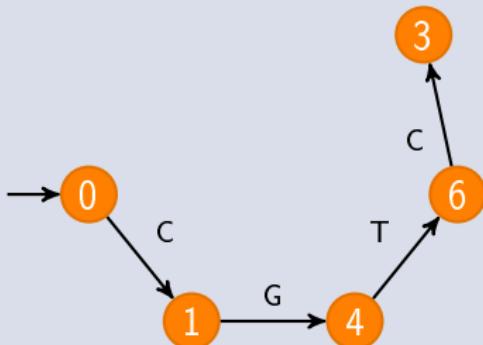
6	T	C	3
---	---	---	---



A visual representation of  $LF$  for  $T = \text{CTCTGC\$}$

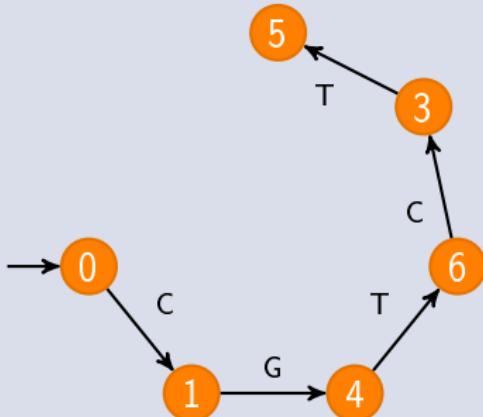
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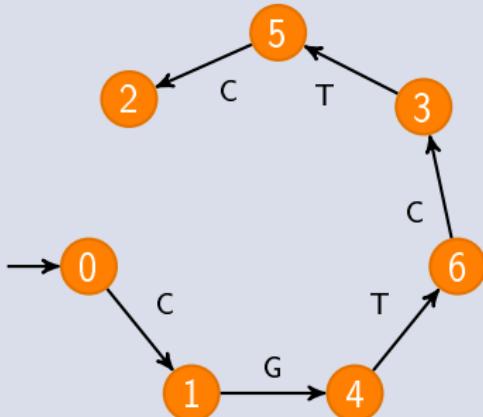
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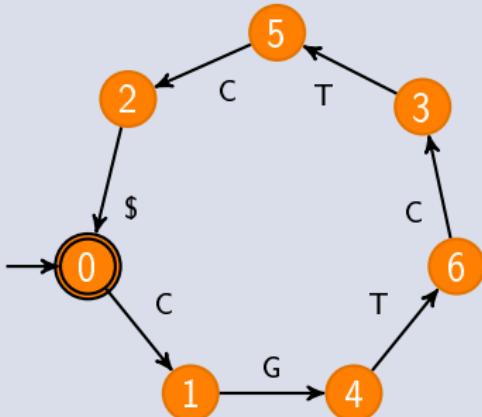
Considering  $LF$  as an automaton

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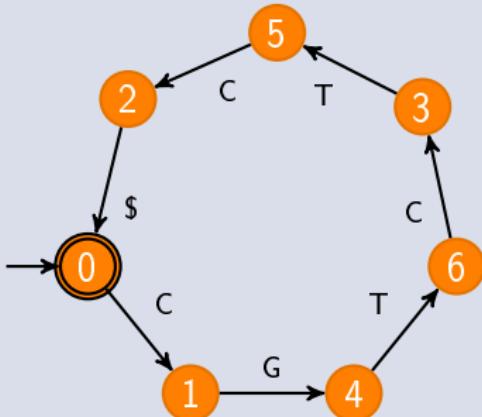
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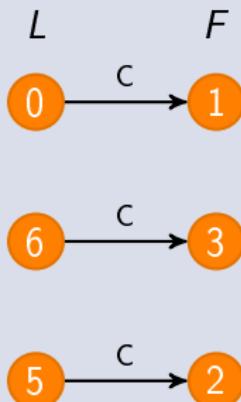
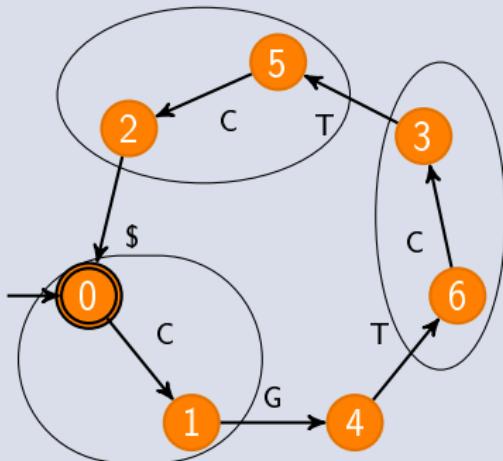
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0 1 2 3 4 5 6

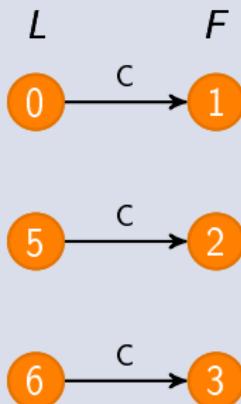
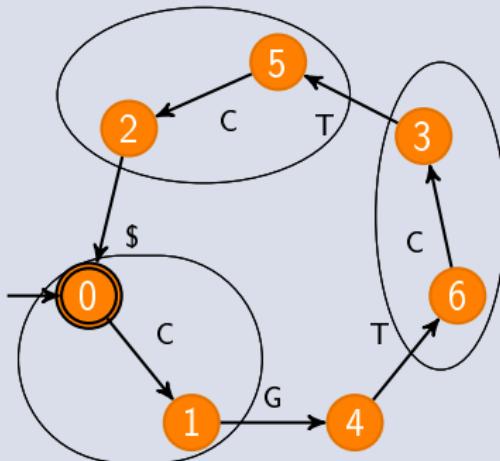
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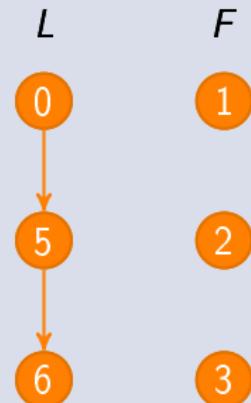
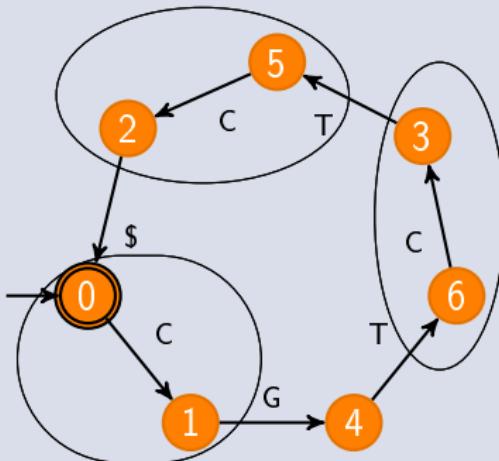
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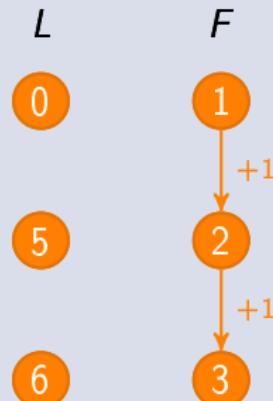
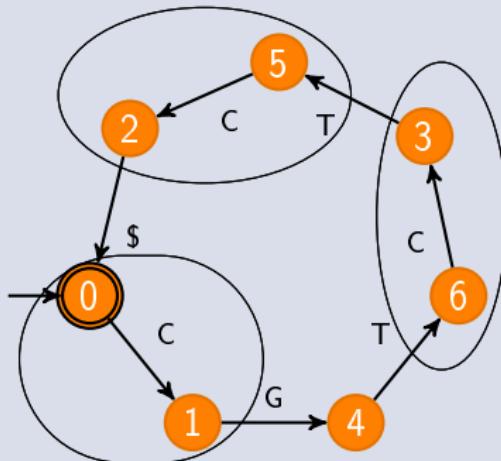
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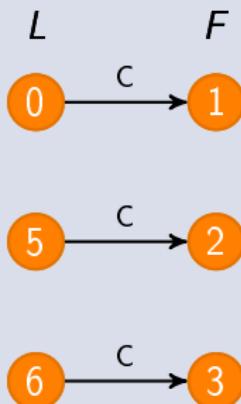
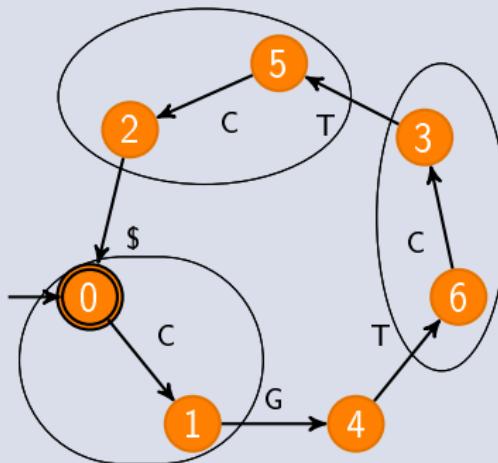
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0	\$	C	1
1	C	G	4
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Property

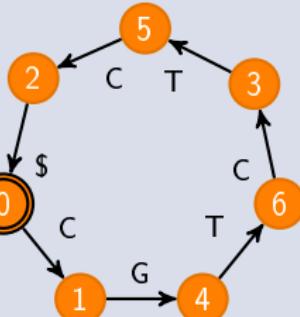
If  $i_1 \xrightarrow{c} i_2$     $j_1 \xrightarrow{c} j_2$    then  $i_1 < j_1 \iff i_2 < j_2$

Updating  $LF$  and the BWT

$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ C & T & C & T & G & C & \$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ C & T & \textcolor{red}{G} & C & T & G & C & \$ \end{smallmatrix}$$

Stage 1: inserted letter **G** is between  $\$$  and  $L$  (not in  $L$ )

$i$	$F$	$L$
0	$\$$	C
1	C	G
2	C	$\$$
3	C	T
4	G	T
5	T	C
6	T	C



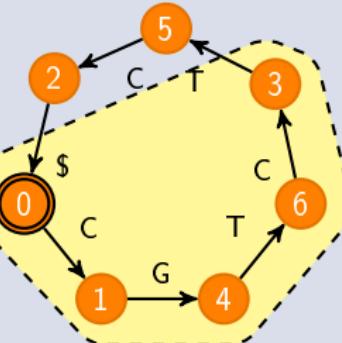
$F$	$L$
$\$$	C T <b>G</b> C T G C
C	$\$$ C T <b>G</b> C T G
:	
G	C $\$$ C T <b>G</b> C T
:	
T	G C $\$$ C T <b>G</b> C

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT \textcolor{red}{G} CTGC\$}$$

Stage 1: inserted letter **G** is between  $\$$  and  $L$  (not in  $L$ )

<i>i</i>	<i>F</i>	<i>L</i>
0	$\$$	C
1	C	G
2	C	$\$$
3	C	T
4	G	T
5	T	C
6	T	C



<i>F</i>	<i>L</i>
$\$$	C T <b>G</b> C T G C
C	$\$$ C T <b>G</b> C T G
:	
G	C $\$$ C T <b>G</b> C T
:	
T	G C $\$$ C T <b>G</b> C

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT \textcolor{red}{G}CTGC\$}$$

Stage 1: inserted letter **G** is between  $\$$  and  $L$  (not in  $L$ )

$i \ F \ L$

0 \$ C

1 C G

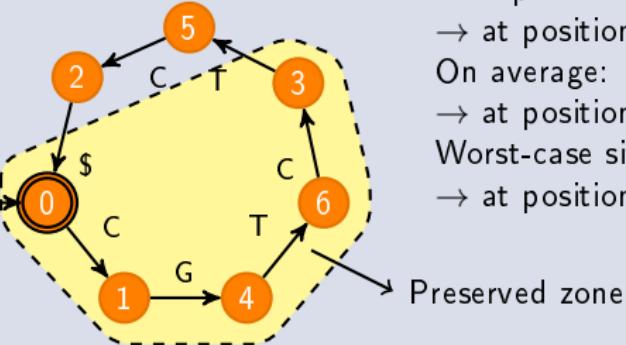
2 C \$

3 C T

4 G T

5 T C

6 T C



Best possible situation:

→ at position 1.

On average:

→ at position  $n/2$ .

Worst-case situation:

→ at position  $n$ .

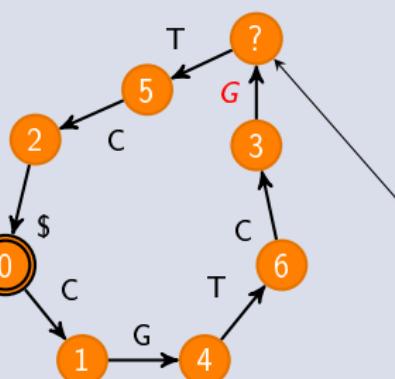
Preserved zone

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

Stage 2: inserted letter  $G$  is in  $L$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	T
4	G	T
5	T	C
6	T	C



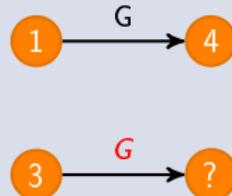
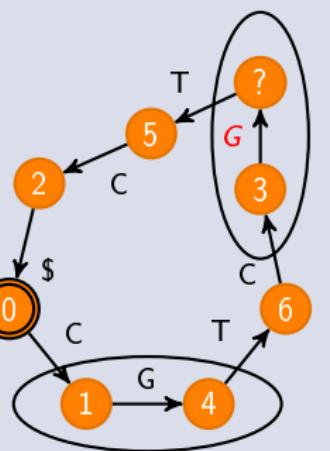
$F$	$L$
C T G C \$	C T $\textcolor{red}{G}$

We have to consider all existing transitions labeled with  $G$

$$T \equiv \texttt{CTCTGC\$} \rightarrow T' \equiv \texttt{CTGCTGC\$}$$

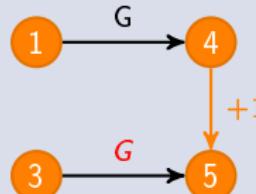
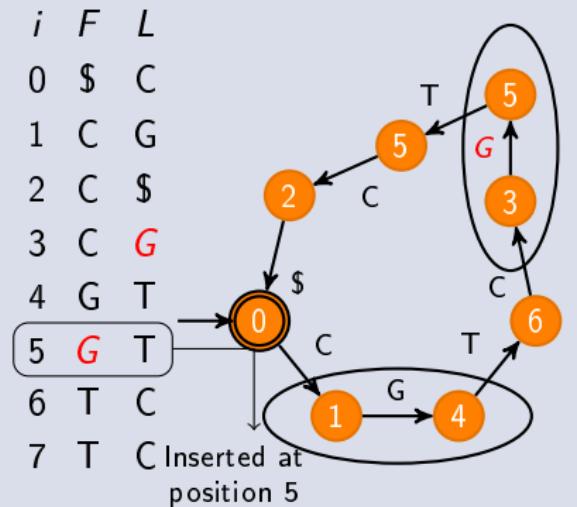
**Stage 2:** inserted letter *G* is in *L*

<i>i</i>	<i>F</i>	<i>L</i>
0	\$	C
1	C	G
2	C	\$
3	C	G
4	G	T
5	T	C
6	T	C



Updating  $LF$  and the BWT
$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ CTCTGC\$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ CT\textcolor{red}{G}CTGC\$ \end{smallmatrix}$$

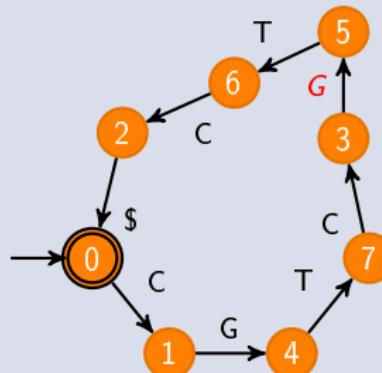
Stage 2: inserted letter **G** is in  $L$



$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ CTCTGC\$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ CT\textcolor{red}{G}CTGC\$ \end{smallmatrix}$$

Stage 2: inserted letter  $G$  is in  $L$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	$\textcolor{red}{G}$
4	G	T
5	$\textcolor{red}{G}$	T
6	T	C
7	T	C

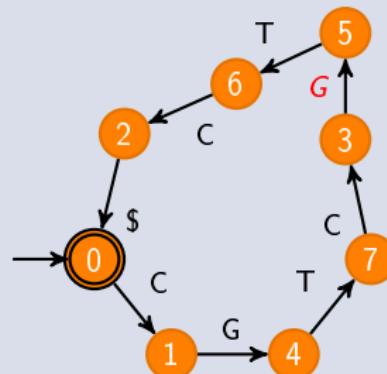


Updating  $LF$  and the BWT

$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ C & T & C & T & G & C & \$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ C & T & \textcolor{red}{G} & C & T & G & C & \$ \end{smallmatrix}$$

Stage 2: inserted letter  $G$  is in  $L$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	$\textcolor{red}{G}$
4	G	T
5	$\textcolor{red}{G}$	T
6	T	C
7	T	C



$F$   
 $\textcolor{red}{G}$  C T G C \$ C T

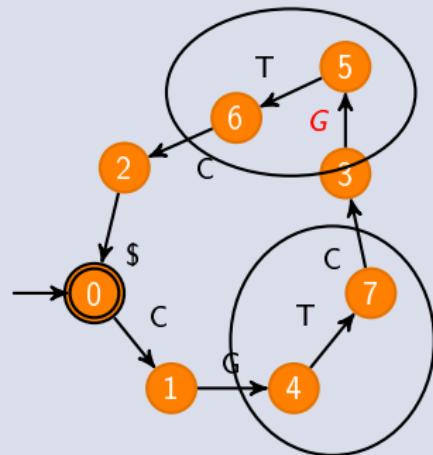
We have to consider all existing transitions labeled with  $T$ .

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

Stage 3: inserted letter  $G$  is in  $F$ : new row

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	$\textcolor{red}{G}$
4	G	T
5	$\textcolor{red}{G}$	T
6	T	C
7	T	C



Now, by  $T$ , we go to 6 from state 5 instead of 3.

We check if the property is satisfied by considering the other edges labelled by  $T$ .

Updating  $LF$  and the BWT

$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ C & T & C & T & G & C & \$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ C & T & G & C & T & G & C & \$ \end{smallmatrix}$$

Stage 3: inserted letter **G** is in  $F$ : new row

$i \ F \ L$

0 \\$ C

1 C G

2 C \\$

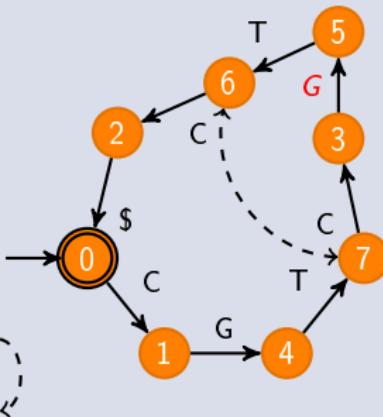
3 C **G**

4 G T

5 **G** T

6 T C

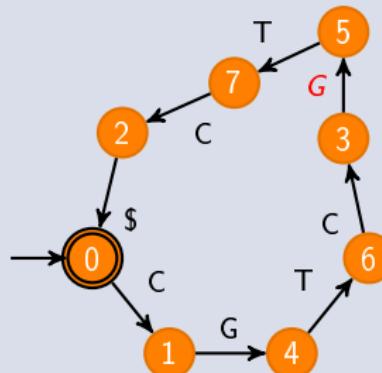
7 T C



$$T = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ C & T & C & T & G & C & \$ \end{smallmatrix} \rightarrow T' = \begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ C & T & G & C & T & G & C & \$ \end{smallmatrix}$$

Stage 3: inserted letter **G** is in  $F$ : new row

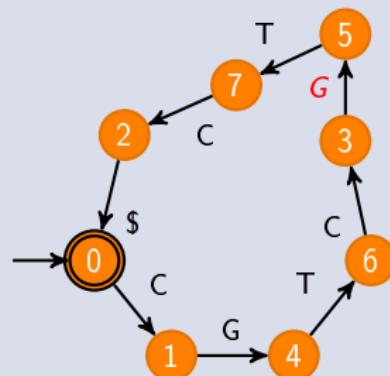
$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	<b>G</b>
4	G	T
5	<b>G</b>	T
6	T	C
7	T	C



Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	\textcolor{red}{G}
4	G	T
5	\textcolor{red}{G}	T
6	T	C
7	T	C



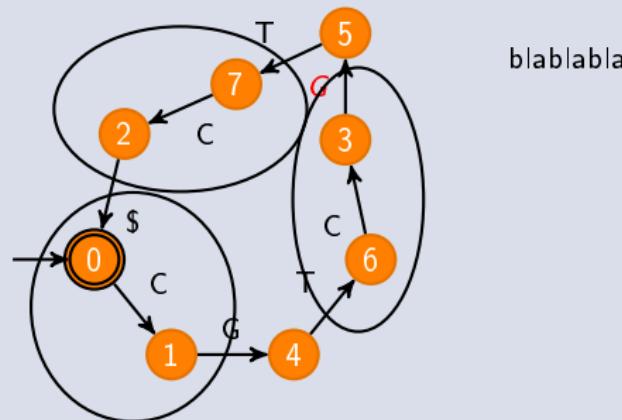
$F$      $L$   
 T    \textcolor{red}{G}    C    T    G    C    \\$    C

We have to consider all existing transitions labeled with C.

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

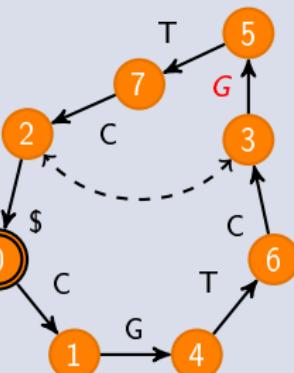
$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	\textcolor{red}{G}
4	G	T
5	\textcolor{red}{G}	T
6	T	C
7	T	C



Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\$
3	C	\textcolor{red}{G}
4	G	T
5	\textcolor{red}{G}	T
6	T	C
7	T	C

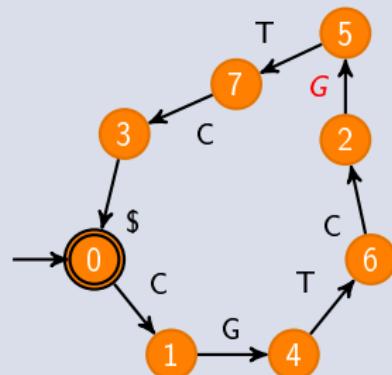


Same principle:  
 $6 < 7$  but  $3 > 2$

Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

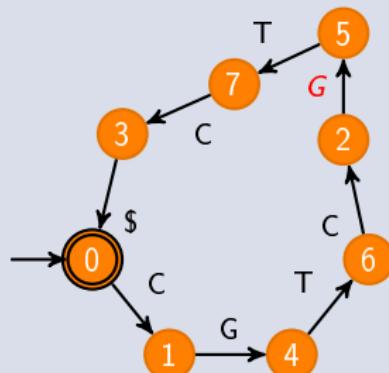
$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\textcolor{red}{G}
3	C	\$
4	G	T
5	\textcolor{red}{G}	T
6	T	C
7	T	C



Updating  $LF$  and the BWT

$$T = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6}{CTCTGC\$} \rightarrow T' = \underset{0 \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7}{CT\textcolor{red}{G}CTGC\$}$$

$i$	$F$	$L$
0	\$	C
1	C	G
2	C	\textcolor{red}{G}
3	C	\$
4	G	T
5	\textcolor{red}{G}	T
6	T	C
7	T	C



We have only one edge labelled \$, therefore the property is satisfied.